## SUMMARY OF NIH GUIDELINES for RESEARCH INVOLVING RECOMBINANT DNA MOLECULES.

Experiments involving recombinant DNA covered by the NIH guidelines are discussed in Section III of the NIH Guidelines, as follows:

| SECTION III.     | EXPERIMENTS COVERED BY THE NIH GUIDELINES                                                                                                                  |
|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Section III-A.   | Experiments that Require Institutional Biosafety Committee Approval (IBC), RAC Review, and NIH Director Approval Before Initiation                         |
| Section III-A-1. | Major Actions under the NIH Guidelines                                                                                                                     |
| Section III-B.   | Experiments That Require NIH/OBA and IBC Approval Before Initiation                                                                                        |
| Section III-B-1. | Experiments Involving the Cloning of Toxin Molecules with LD <sub>50</sub> of Less than 100 Nanograms per Kilogram Body Weight                             |
| Section III-C.   | Experiments that Require IBC and Institutional Review Board<br>Approvals (IRB) and RAC Review Before Research Participant<br>Enrollment                    |
| Section III-C-1. | Experiments Involving the Deliberate Transfer of Recombinant DNA, or DNA or RNA Derived from Recombinant DNA, into One or More Human Research Participants |
| Section III-D.   | Experiments that Require IBC Approval Before Initiation                                                                                                    |
| Section III-D-1. | Experiments Using Risk Group (RG) 2, RG 3, RG 4, or Restricted Agents as Host-Vector Systems                                                               |
| Section III-D-2. | Experiments in Which DNA From RG 2, RG 3, RG 4, or Restricted Agents is Cloned into Nonpathogenic Prokaryotic or Lower Eukaryotic Host-Vector Systems      |
| Section III-D-3. | Experiments Involving the Use of Infectious DNA or RNA Viruses or Defective DNA or RNA Viruses in the Presence of Helper Virus in Tissue Culture Systems   |
| Section III-D-4. | Experiments Involving Whole Animals                                                                                                                        |
| Section III-D-5. | Experiments Involving Whole Plants                                                                                                                         |
| Section III-D-6. | Experiments Involving More than 10 Liters of Culture                                                                                                       |
| Section III-E.   | Experiments that Require IBC Notice Simultaneous with Initiation                                                                                           |
| Section III-E-1. | Experiments Involving the Formation of Recombinant DNA Molecules<br>Containing No More than Two-Thirds of the Genome of any<br>Eukaryotic Virus            |
| Section III-E-2. | Experiments Involving Whole Plants                                                                                                                         |
| Section III-E-3. | Experiments Involving Transgenic Rodents                                                                                                                   |
| Section III-F.   | Exempt Experiments                                                                                                                                         |

**CONTAINMENT:** The Recombinant DNA Guidelines include a Classification of Microorganisms on the Basis of Hazard (Appendix B), which should be used to determine the level of containment required for a given organism.

NOTE: The Upstate Institutional Bio-Safety Committee requires that an application be submitted for review for all experiments involving recombinant DNA.